



**MARSICO**  
**CAPITAL MANAGEMENT, LLC®**

To: Lonza Group Ltd  
Alexandre Pasini

From: **Chris Girvan**  
**Senior Compliance Analyst**  
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Date: November 3, 2009

Pages: 5 (including cover page)

Re: Shareholder Notification

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Urgent       For Review       Please Comment       Please Reply

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● **Comments:**

We will be sending the original signed document via mail.

## MARSICO CAPITAL MANAGEMENT, LLC

3 November 2009

**VIA FACSIMILIE AND OVERNIGHT DELIVERY**

Mr. Alexandre Pasini  
Lonza Group AG  
Münchensteinerstrasse 38/Postfach  
CH - 4002 Basel, Switzerland

**Re: Subsequent Substantial Shareholdings Notification**

Dear Mr. Pasini:

This letter and the attached shareholder form serve as notification that Marsico Capital Management, LLC ("MCM") held, as of 29 October, 2009, on behalf of numerous clients, 1,266,503 ordinary shares of Lonza Group AG ("Lonza"), or 2.51% of the outstanding shares of Lonza, for which it maintains voting authority. MCM, which is based in Denver, Colorado, USA, is an investment adviser registered with the U.S. Securities and Exchange Commission under the Investment Advisers Act of 1940.


Regards,



Chris Girvan  
Senior Compliance Analyst

<b>Form I</b> <b>Notification of direct or indirect acquisition or direct or indirect sale pursuant to Art. 9 and Art. 21 SESTO-FINMA</b>
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**I. Information on the issuer, persons subject to disclosure obligations, timing and the circumstances triggering the obligation to notify**

Name of listed company	1
<i>Lonza Group AG</i>	
Surname, first name and place of residence or company name, head office and address of the buyer or seller ( <i>beneficial owner</i> )	2
<i>Mansico Capital Management, LLC ("MCM") 1200 17th St. Suite 1600 Denver, CO 80202 *</i>	
In the event of indirect acquisition or indirect sale:	3
Surname, first name and place of residence or company name, head office and address of the <u>direct buyer or seller</u> and/or the other persons concerned, as well as details of the relationship between the beneficial owner and the direct buyer or seller, disclosing the full structure by which control is exercised	
Date of the act which creates the obligation to notify	4
<i>10/29/09</i>	
Date of transfer of equity securities (if different from section 4)	5
Facts and circumstances triggering the obligation to notify (Art. 21 para. 1 b SESTO-FINMA) (e.g.: acquisition, sale, securities lending and similar transactions pursuant to Art. 14 SESTO-FINMA, exercise or non-exercise of financial instruments pursuant to Art. 15 SESTO-FINMA, changes in the company's registered capital, decisions by a court or public authority, grounds for action in concert or a change to the composition of a group)	6
<i>sales resulting in &lt; 3% ownership</i>	
Surname, first name, place of residence, telephone and fax numbers of the contact person responsible	7
<i>Steve Carlson, CEO of MCM</i>	
Surname, first name, place of residence and signature of the person responsible for this notification (if different from section 2)	8
<i>ph#00+1+ 303-454-5600 fax 00+1+ 303-454-5678</i>	
Date and signature	
<i>11/3/09 </i>	

*\* MCM invests in securities on behalf of its clients which may include mutual funds and separate accounts. MCM disclaims beneficial ownership of the securities listed on this form.*

## II. Disclosure of purchase positions pursuant to Art. 12 para. 1 a SESTO-FINMA

Equity securities, conversion and share purchase rights (Art. 15 para. 1 a SESTO-FINMA), granted (written) share sale rights (Art. 15 para 1 b SESTO-FINMA) and financial instruments (Art. 15 para. 1 c SESTO-FINMA)

Percentage of voting rights (entire shareholding)

2.51%

### 1. Equity securities:

Shares	Number	Voting rights <sup>1</sup>	
		Number	Percentage <sup>2</sup>
Reglst.		1,246,503	2.51%
Bearer			
Total:		<sup>1.1</sup> 1,246,503	<sup>1.2</sup> 2.51%

### Securities lending and comparable transactions in equity securities

From the above mentioned equity securities, the following part is held due to securities lending and comparable transactions (Art. 21 para. 2 b SESTO-FINMA and Art. 14 SESTO-FINMA)

Shares	Number	Proportion of voting rights	Nature of the legal transaction	Agreed date of return transfer or, if there is a right to choose, whether this applies to the contracting party subject to the obligation to notify or to the counterparty
Reglst.				
Bearer				

### 2. Financial instruments

#### a. Conversion rights, share purchase rights and granted (written) share sale rights, that provide or permit actual delivery<sup>3</sup>:

Type of rights	Number of rights	Voting rights conferred		Security ID number (ISIN), if listed on a Swiss stock exchange, or basic terms  (e.g.: Identity of issuer, underlying, subscription ratio, exercise price, exercise period, exercise type)
		Number	Percentage <sup>2</sup>	
Total:		<sup>2.1</sup>	<sup>2.2</sup>	

<sup>1</sup> Whether exercisable or not.

<sup>2</sup> Calculated on the basis of the total number of voting rights pursuant to the entry in the commercial register (Art. 12 para. 2 SESTO-FINMA).

<sup>3</sup> Whether standardised or not.

- b. Financial Instruments that provide for or permit cash settlement, as well as other differential transactions (such as contracts for difference and financial futures) (Art. 15 para. 1 c SESTO-FINMA):

Type of rights	Number of rights	Voting rights conferred		Security ID number (ISIN), if listed on a Swiss stock exchange, or basic terms  (e.g.: identity of issuer, underlying, subscription ratio, exercise price, exercise period, exercise type)
		Number	Percentage	
Total:		3.1	3.2	

- c. Financial Instruments which economically enable the acquisition of equity securities in respect to a public takeover offer (Art. 15 para. 2 SESTO-FINMA):

Type of rights	Number of rights	Voting rights conferred		Security ID number (ISIN), if listed on a Swiss stock exchange, or basic terms  (e.g.: identity of issuer, underlying, subscription ratio, exercise price, exercise period, exercise type)
		Number	Percentage	
Total:		4.1	4.2	

**Securities lending and comparable transactions in financial instruments**

From the above mentioned financial instruments (Point 2 a, b and c), the following part is held due to securities lending and comparable transactions (Art. 21 para. 2 b SESTO-FINMA and Art. 14 SESTO-FINMA)

Type of rights	Number	Proportion of voting rights	Nature of the legal transaction	Agreed date of return transfer or, if there is a right to choose, whether this applies to the contracting party subject to the obligation to notify or to the counterparty

**TOTAL SHAREHOLDING:**

Number	Percentage
S1 (=1.1+2.1+3.1+4.1)	S2 (=1.2+2.2+3.2+4.2)
1,266,503	2.51%